

01-31-05

Attorney Docket No.: 4704P012

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Examiner: Chow, Ming

Steven Lurie

Art Unit: 2645

Application No: 09/764,021

Filed: January 16, 2001

For:

SYSTEM AND METHOD FOR AN

ONLINE SYSTEM PATCH-THROUGH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

EXPRESS MAIL STATEMENT						
"Express Mail" mailing label number: EV336587232US						
Date of Deposit: January 27, 2005						
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1/27/2015	(Date Signed)					
'/' '/						

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

has been made and is not to be construed as an admission that the information cited in
this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX	37 C.F.R. §1.97(b).
<u> </u>	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and
,	(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: <u>1/27/05</u>

Van N. Mgr/y Reg. No. 55,851

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (403) 720-8300



FEE TRANSMITTAL FOR FY 2005

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). TOTAL AMOUNT OF PAYMENT (\$) 0.00Complete if Known: Application No. _ 09/764,021 January 16, 2001 Filing Date First Named Inventor Steven Lurie Examiner Name _ Chow, Ming Art Unit 2645 Attorney Docket No. 4704P012 Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) Check ____ Credit Card Money Order None Other (please identify) **Deposit Account** Deposit Account Number: 02-2666 Deposit Account Name: The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. X Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application. Charge fee(s) indicated below except for the filing fee Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES Large Entity **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** Fees Paid (\$) 1011 300 Utility application filing fee 2011 150 1111 500 2111 250 Utility search fee 1.000/500 1311 200 2311 100 Utility examination fee 1012 200 2012 100 Design application filing fee 1112 100 2112 50 Design search fee 430/215 1312 130 2312 65 Design examination fee 1013 200 2013 100 Plant filing fee 1113 300 2113 150 Plant search fee 660/330 1313 160 2313 80 Plant examination fee 1004 300 2004 150 Reissue filing fee 1114 500 2114 250 Reissue search fee 1,400/700 1314 600 2314 300 Reissue examination fee 1005 200 2005 100 Provisional application filing fee

SUBTOTAL (1) \$ 0.00

2.	EX	CES	SS C	LA	IM	FEES
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	Extra Claims	Fee from below	Fees Paid (\$)
Total Claims HP = highest number of tot	- 20 or HP = tal claims paid for, if greater than 20	X	_ =
Independent Claims		х	=
Multiple Dependent Clai			_ =

Large Entity Small Entity		Entity		
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$ 0.00

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s):

Total Sheets	Extra Sheets		i	Number of each add'l 50 or fraction thereof			Fee from below Fees paid (
	- 100	=	/ 50	=	(round up to whole number)	X		

Large Entity Small Entity		Entity						
Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets				
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):				
1081	250	2081	125	Utility				
1082	250	2082	125	Design				
1083	250	2083	125	Plant				
1084	250	2084	125	Reissue				

SUBTOTAL (3) \$ 0.00

FEE CALCULATION (continued) 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) **Small Entity** Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Surcharge - late filing fee or oath 1051 2051 130 65 1052 2052 Surcharge - late provisional filing fee or cover sheet 50 25 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 1813 8,800 1813 8,800 Request for inter parties reexamination 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action 1251 120 2251 60 Extension for reply within first month 225 1252 450 2252 Extension for reply within second month 1253 1,020 510 Extension for reply within third month 2253 1254 1,590 2254 795 Extension for reply within fourth month 1255 1,080 Extension for reply within fifth month 2,160 2255 1401 500 2401 250 **Notice of Appeal** 1402 500 2402 250 Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 500 2452 250 Petition to revive – unavoidable 1453 1,500 2453 750 Petition to revive - unintentional 2501 1501 1,400 700 Utility issue fee (or reissue) 1502 800 2502 400 Design issue fee 1503 2503 550 1100 Plant issue fee 1462 400 400 Petitions to the Commissioner (CFR 1.17(f) Group I) 1462 1463 200 1463 200 Petitions to the Commissioner (CFR 1.17(g) Group II) 1464 130 1464 130 Petitions to the Commissioner (CFR 1.17(h) Group III) Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 1806 1806 180 **Submission of Information Disclosure Stmt** 180 8021 8021 40 Recording each patent assignment per 40 property (times number of properties) 1809 790 395 2809 For filing a submission after final rejection (see 37 CFR 1.129(a)) 1814 130 2814 65 **Statutory Disclaimer** 1810 790 2810 395 For each additional invention to be examined (see 37 CFR 1.129(b)) 1801 790 2801 395 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application 300 1504 300 1504 Publication fee for early, voluntary, or normal pub. 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication 1808 130 1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 1454 1,370 1454 1,370 Acceptance of unintentionally delayed claim for priority Other fee (specify) Other fee (specify) SUBTOTAL (4) \$ 0.00 *Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Van N. Nguy Signature: Reg. Number: 55,851 **Telephone Number:** 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for Form 1449/PTO

INFORMATION DISCLOSURS STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known					
Application Number	09/764,021				
Filing Date	January 16, 2001				
First Named Inventor:	Steven Lurie				
Art Unit	2645				
Examiner Name	Chow, Ming				

Sheet	1		of	5	Attorney Docket Number	4704P012
			U.S. PATEN	IT DOCUMENTS	S	
Examiner Initials*	Cite No.1	Num	Document Number ber-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	4,313,035	1/26/1982	Jordan, et al.	
		US-	4,645,873	2/24/1987	Chomet	
		US-	5,448,625	9/5/1995	Lederman	
		US-	5,574,780	11/12/1996	Andruska et al.	
		US-	5,701,419	12/23/1997	McConnell	
		US-	5,774,534	6/30/1998	Mayer	
		US-	5,802,502	9/1/1998	Gell et al.	
		US-	5,937,390	8/10/1999	Hyodo	
		US-	5,946,646	8/31/1999	Schena, et al.	
		US-	5,948,054	9/7/1999	Nielsen	
		US-	5,974,398	10/26/1999	Hanson, et al.	
		US-	5,987,118	11/16/1999	Dickerman et al.	
		US-	5,999,611	12/7/1999	Tatchell et al.	
		US-	6,006,197	12/21/1999	d'Eon, et al.	
-		US-	6,167,449	12/26/2000	Arnold et al.	

•		FO	REIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Ţ⁵
		AU 669 785	12/7/1995	Hickman		
		WO 00/57326	9/28/2000	Contadini et al.		
		WO 01/27825	4/19/2001	Faber		
		WO 02/44870	6/6/2002	Jacob et al.		
•						

Examiner	Date Considered	
Signature		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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INFORMATION DISCLOSURES STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number 09/764,021

Filing Date January 16, 2001

First Named Inventor: Steven Lurie

Art Unit 2645

Examiner Name Chow, Ming

Sheet	2		of	5	Attorney Docket Number	4704P012
			U.S. PAT	ENT DOCUMENTS	3	· · ·
Examiner Initials*	Cite No.1	Number	Document Number -Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	6,188,761 B1	2/13/2001	Dickerman et al.	
		US-	6,230,287 B1	5/8/2001	Pinard et al.	
		US-	6,233,566 B1	5/15/2001	Levine et al.	
		US-	6,266,651 B1	7/24/2001	Woolston	
		US-	6,269,336 B1	7/31/2001	Ladd et al.	
		US-	6,301,342 B1	10/9/2001	Ander, et al.	
		US-	6,385,583 B1	5/7/2002	Ladd et al.	
		US-	6,389,541 B1	5/14/2002	Wie et al.	
		US-	6,400,806 B1	6/4/2002	Uppaluru	
		US-	6,430,276 B1	8/6/2002	Bouvier et al.	
		US-	6,434,527 B1	8/13/2002	Horvitz	
		US-	6,470,079 B1	10/22/2002	Benson	
		US-	6,470,317 B1	10/22/2002	Ladd et al.	
		US-	6,484,148 B1	11/19/2002	Boyd	
•		US-	6,493,437 B1	12/10/2002	Olshansky	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		

Examiner		Date Considered	
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JAN 2 7 2005 Substitute for Form 1449/PTO Complete if Known INFORMATION DISCLOSURE pplication Number 09/764,021 Filing Date January 16, 2001 STATEMENT BY APPLICANT First Named Inventor: Steven Lurie (use as many sheets as necessary) Art Unit 2645 **Examiner Name** Chow, Ming Sheet 3 of 5 Attorney Docket Number 4704P012 **U.S. PATENT DOCUMENTS** Cite No. Examiner **Publication Date** Name of Patentee or Pages, Columns, Lines, Initials* **Document Number** MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Relevant Number-Kind Code²(If known) Figures Appear 6,493,671 B1 12/10/2002 Ladd et al. US-6,493,673 B1 12/10/2002 Ladd et al. US-6,510,417 B1 1/21/2003 Woods et al. US-6,519,570 B1 2/11/2003 Faber et al. US-6,523,010 B2 2/18/2003 Lauffer US-6,539,359 B1 3/25/2003 Ladd et al. US-6,546,372 B2 4/8/2003 Lauffer US-6,549,889 B2 4/15/2003 Lauffer US-6,560,576 B1 5/6/2003 Cohen et al. US-6,606,376 B1 8/12/2003 Trell

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US 2002/0038233 A1

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10/21/2003

10/5/2004

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12/6/2001

3/28/2002

Jacob et al.

Lauffer

Mullaney

Goldberg, et al.

Shubov et al.

Examiner	Date Considered	
Signature		

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Substitute	for Form 144	9/PTO		JAN 2 7 2005	Complete i	f Known
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	STAT	EME	ENT BY APPLICAN	T	First Named Inventor:	Steven Lurie
		(use as	s many sheets as necessary)		Art Unit	2645
					Examiner Name	Chow, Ming
Sheet	4		of	5	Attorney Docket Number	4704P012
	-11-		U.S. PATEN	IT DOCUMENTS		
Examiner Initials*	Cite No.1	Num	Document Number ber-Kind Code² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	US 2002/0107697 A1	8/8/2002	Jensen	
14.7		US-	US 2002/0120554 A1	8/29/2002	Vega	
		US-	US 2002/0133571 A1	9/19/2002	Jabob et al.	
		US-	US 2002/0191762 A1	12/19/2002	Benson	
		US-	US 2003/0043981 A1	3/6/2003	Lurie et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner	Date Considered	
Signature		

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Substitute or Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 5 of 5

Com	Complete if Known				
Application Number	09/764,021				
Filing Date	January 16, 2001				
First Named Inventor:	Steven Lurie				
Art Unit	2645				
Examiner Name	Chow, Ming				
Attorney Docket Number	4704P012				

	-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т
		Keen.com, Inc. v. InfoRocket.com, Inc., Preliminary Injunction Hearing, pp. 286-289 (January 8, 2002)	
		Keen.com, Inc. v. InfoRocket.com, Inc., Complaint For Patent Infringement, Trade Dress Infringement and Unfair Competition; Demand for Jury Trial filed August 31, 2001, pp. 1-8 plus 17 pgs. Of attachments.	
		"Aspect Telecom: Aspect Integrates The Web Into The Call Center", M2 Presswire, August 1996	
		"Information, Bid and Asked", Forbes, August 20, 1990, page 92.	
		"US Courts To Launch First Federal 900 Service", Federal Computer Week, September 28, 1992, page 8.	
		"Company Devoted To Hot-Line Support", Computer Reseller News, October 21, 1991, page 48.	
		"For Telesphere's Clients, Dial '1-900 TUF LUCK", Business Week, September 9, 1991, page 88.	
		"Hidden Cost of Tech Support", PC World, May 1995, page 143.	
		J. Sairamesh, et al., "NetBazaar: Networked Electronic Markets For Trading Computation And Information Services", Research and Advanced Technology for Digital Libraries, Second European Conference, ECDL 1998, pp. 839-856 (September 21-23, 1998)	
		"Multimedia Collaborative Remote Consultation Tools Via Gigabit WAN In Teleradiology", IEEE 1994, Pheonix, page 417.	
		"Multimedia Communication In A Medical Environment", IEEE 1991 Singapore I.C. on Networks, page 166.	

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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